

# Containers

# MSP101

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# Why?

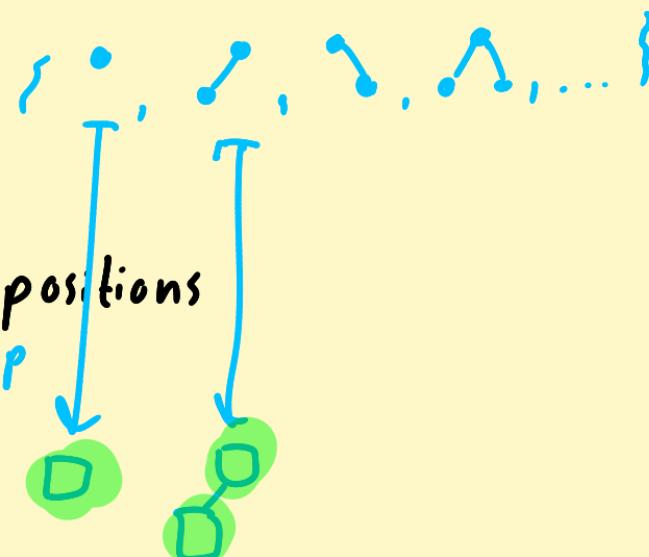
Tuples, lists, binary trees, finitely branching trees, streams, infinite trees, ...

- Generic programs?
- Generic theorems?
- A core theory we can understand.

# What is a container?

A container  $S \triangleright P$  is given by

- a set  $S$  of shapes
  - $S = \{\emptyset, \circ, \text{---}, \text{--o--}, \dots\}$  or  $S = \{\cdot, \text{---}, \text{--o--}, \wedge, \wedge\text{---}, \dots\}$
- for each shape  $s \in S$ , a set  $P(s)$  of positions
  - $P(\emptyset) = \emptyset$     $P(\circ) = \square \quad \square$
  - $P(\text{---}) = \square$



# Examples

Tuples

$$S = \{*\}$$

$$P(*) = \{\text{left}, \text{right}\}$$

Lists

$$S = \mathbb{N}$$

$$P(n) = \{1, \dots, n\}$$

Binary trees  $S = \text{"shape of trees"}$   $P(s) = \{\text{"node in } s\}$

# What can you do with it?

Given a payload  $X$ , e.g.  $X = \mathbb{N}$

choose a shape  $s \in S$ , e.g.  $s = \text{---o}$

for every position  $p \in P(s)$ ,

fill it with a payload from  $X$ .



$$S = \mathbb{N}$$

Plat:  $S^I, \{-\}$

# The extension of a container

For a container  $S \Delta P$ , we define  $\llbracket S \Delta P \rrbracket : \text{Set} \rightarrow \text{Set}$  by

$$\llbracket S \Delta P \rrbracket X = \sum_{S \in S.} (P(S) \rightarrow X)$$

$$\hookrightarrow \sum_{x \in A.} B(x) = \{(a, b) \mid a \in A, b \in B(a)\}$$

This extends to a functor  $\text{Set} \rightarrow \text{Set}$ :

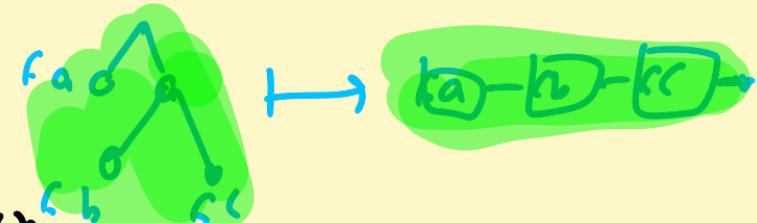
$$\llbracket S \Delta P \rrbracket(f: X \rightarrow Y) : \sum_{S \in S.} (P(S) \rightarrow X) \rightarrow \sum_{S \in S.} (P(S) \rightarrow Y)$$
$$(s, h) \mapsto (s, f \circ h)$$

$$P(S) \xrightarrow{h} X \xrightarrow{f} Y$$

# Container morphisms

How can we represent natural transformations  $\llbracket S \Delta P \rrbracket \rightarrow \llbracket S' \Delta P' \rrbracket$ ?

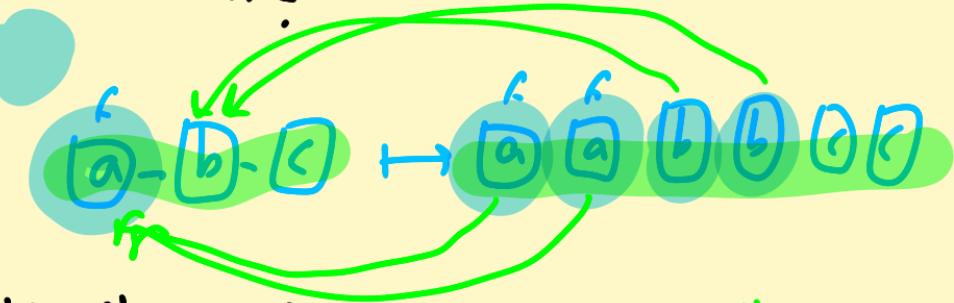
$\text{flatten}_X : \text{Tree } X \rightarrow \text{List } X$



$f : \underline{s} \rightarrow \underline{s}' \quad g : \forall s. P(s) \rightarrow P'(f(s))$ ?

$\text{double}_X : \text{List } X \rightarrow \text{List } X$

$f : s \rightarrow s' \quad g : \forall s. P'(f(s)) \rightarrow P(s)$



"Where did the new position come from?"

# The extension of a container morphism

$$f: S \rightarrow S' \quad g : \prod_{s \in S} (P'(f(s)) \rightarrow P(s))$$

$$\sum_{s \in S} (P(s) \rightarrow X) \quad \sum_{s' \in S'} (P'(s') \rightarrow X)$$

$$[(f \triangleright g)]_X : [[S \triangleright P]]_X \rightarrow [[S' \triangleright P']]_X$$

$$(s, h) \mapsto (f(s), h \circ g_s)$$

[Note: if  $P$  and  $P'$  are constant, then this is exactly a lens  $(\underline{s})_P \rightarrow (\underline{s'})_{P'}$ !]

$$\begin{array}{ccc} P'(f(s)) & & X \\ g_s \searrow & & \nearrow h \\ P(s) & & \end{array}$$

functor cat

Thm There is a category  $\text{Cont}$  and a functor  $[[ - ]]: \text{Cont} \rightarrow (\text{Set} \rightarrow \text{Set})$ .

# Full and faithfulness of $\llbracket \cdot \rrbracket$

Thm  $\llbracket \cdot \rrbracket$  is full and faithful, i.e.  $\text{Hom}_{\text{Set}^{\text{Rel}}}( \llbracket s \triangleright p \rrbracket, \llbracket s' \triangleright p' \rrbracket) \cong \text{Hom}_{\text{Cont}}(s \triangleright p, s' \triangleright p')$ .

Proof:

$$\begin{aligned} & \text{Hom}_{\text{Set}^{\text{Rel}}}(\sum_{s \in S} P(s) \rightarrow -, \llbracket s' \triangleright p' \rrbracket) \\ & \cong \prod_{s \in S} \text{Hom}(P(s) \rightarrow -, \llbracket s' \triangleright p' \rrbracket) \\ & \cong \prod_{s \in S} \llbracket s' \triangleright p' \rrbracket / P(s) \\ & = \prod_{s \in S} \sum_{s' \in S'} (P'(s') \rightarrow P(s)) \\ & \cong \sum_{f: S \rightarrow S'} \prod_{s \in S} P'(f(s)) \rightarrow P(s) \end{aligned}$$

Yoneda Lemma  
Let  $F: \mathcal{C} \rightarrow \text{Set}$  and  $A \in \mathcal{C}$ .  
Then  $\text{Hom}_{\mathcal{C}^{\text{op}}}(-, F) \cong F(A)$ .

$$\begin{array}{c} (- \rightarrow A) \rightarrow F(A) \\ \text{id} \mapsto \end{array}$$

# Time for amazement

The collection of nat. trans.  $[S \Delta P] \rightarrow [S' \Delta P']$  is a priori very large — each element quantifies over all sets!

The theorem says that all these large things are in fact represented by two small functions.

Concretely: ~~two~~ <sup>four</sup> nat. trans.  $\text{Tuple } X \rightarrow \text{Tuple } X$

- There are exactly ~~two~~ <sup>four</sup> nat. trans.  $\text{List } X \rightarrow \text{List } X$  is given by
  - $f: N \rightarrow N$        $n \mapsto 2^n$        $0 \mapsto 0$
  - $g: \prod_{n \in N} (\underline{\{1, \dots, f(n)\}}) \rightarrow \underline{\{1, \dots, n\}}$        $n \mapsto n-1$
- Every nat. trans. a length transformer a position rearranger

## Closure I: $\text{Id}$ and constant functors

$$\underline{\text{Id}}(X) = X \xrightarrow[X \mapsto (\star, x)]{\sim} \sum_{s \in \mathbb{I}} X \cong \sum_{s \in \mathbb{I}} (1 \rightarrow X) = \underline{[1 \triangleright 1]}(X)$$

$$K(X) = A \xrightarrow{\sim} \sum_{s \in A} (0 \rightarrow X) = \underline{[A \triangleright 0]}(X)$$

## Closure II: Products and coproducts

$$\begin{aligned}
 ([\![S \Delta P]\!] \times [\![S' \Delta P']\!])X &= \sum_{s \in S} (P(s) \rightarrow X) \times \sum_{s' \in S'} (P'(s') \rightarrow X) \\
 &\cong \sum_{(s, s') \in S \times S'} ((P(s) \rightarrow X) \times (P'(s') \rightarrow X)) \\
 &\cong \sum_{(s, s') \in S \times S'} ((P(s) + P'(s')) \rightarrow X) \\
 &= [\![S \times S' \Delta P(-) + P'(-)]\!]
 \end{aligned}$$

$$\begin{aligned}
 ([\![S \Delta P]\!] + [\![S' \Delta P']\!])X &= \sum_{s \in S} (\overline{P}(s) \rightarrow X) + \sum_{s' \in S'} (\overline{P}'(s') \rightarrow X) \\
 &\cong \sum_{x \in S + S'} \begin{cases} P(s) \rightarrow X & \text{if } x = \text{inl } s \\ P'(s') \rightarrow X & \text{if } x = \text{inr } s' \end{cases} \\
 &\cong \sum_{x \in S + S'} \begin{pmatrix} P(s) & \text{if } x = \text{inl } s \\ P'(s') & \text{if } x = \text{inr } s' \end{pmatrix} \rightarrow X \\
 &= [\![S + S' \Delta \underline{\begin{pmatrix} P(s) & \text{if } x = \text{inl } s \\ P'(s') & \text{if } x = \text{inr } s' \end{pmatrix}}]\!]
 \end{aligned}$$

Note: even if  $P, P'$  are relational,  
the new positions are def. dependent!

## Closure III: Least and greatest fixed points

Given  $S \Delta P$ , want (least)  $X$  s.t.  $\llbracket S \Delta P \rrbracket(X) \cong X$ .

Is this a container? Obviously not, because the question does not typecheck!

Generalise to n-ary containers:

$S$  set

$P_1 : S \rightarrow \text{Set}$

$P_2 : S \rightarrow S \circ$

:

$P_n : S \rightarrow \text{Set}$

$\llbracket S \Delta \vec{P} \rrbracket : \text{Set}^n \rightarrow \text{Set}$

$$\llbracket S \Delta \vec{P} \rrbracket(X_1, \dots, X_n) = \sum_{S \in S.} (P_1(s) \rightarrow X_1) \times \dots \times (P_n(s) \rightarrow X_n)$$

Thm For  $(n+1)$ -ary container  $S \Delta \vec{P}$ ,

$$\bigcup_{\substack{\uparrow \\ \text{also } V}} X_{n+1}. \llbracket S \Delta \vec{P} \rrbracket(X_1, \dots, X_{n+1})$$

is an  $n$ -ary container

$S'$  = "trees of  $\llbracket S \Delta P \rrbracket$ "  
 $P'$  = "paths into the tree"

# Generalisations

- Set  $\rightsquigarrow$  locally cartesian closed category with extensive coproducts  
 $\Rightarrow$  has internal language with  $\Sigma, \Pi, \text{so can do what we did internally}$
- Containers  $\rightsquigarrow$  indexed containers  
Represents functors  $(I \rightarrow \text{Set}) \rightarrow (O \rightarrow \text{Set})$   
Indexed data types such as vectors, relations, etc

# Summary

- Container  $S \triangleright P$  given by set  $S$  and  $P: S \rightarrow \text{Set}$
- Category  $\text{Cont}$  with full and faithful  $\mathbb{I}-\mathbb{D}: \text{Cont} \rightarrow (\text{Set} \rightarrow \text{Set})$
- Closed under most things you can imagine

## References

- Abbott: Categories of containers
- Abbott, Altenkirch, Ghani: Containers: constructors, strictly positive types
- Gambino, Hyland: Wellfounded trees and dependent polynomial functors
- J. Kock: Polynomial functors and trees
- Altenkirch, Ghani, Hancock, McBride, Morris: Indexed containers

$$\begin{array}{ccc}
\binom{A}{B} \in \text{Ob}_i(\text{Lans}) & \xrightarrow{\quad \substack{S=A \\ P(i)=B} \quad} & \text{Ob}_i(\text{Cnf}) \\
\downarrow & \downarrow & \downarrow \substack{\text{rel: } A \rightarrow A' \\ \text{pub: } A \times B' \rightarrow B} \\
\binom{A'}{B'} & & \binom{A}{B} + \binom{A'}{E}
\end{array}$$

$$\begin{array}{c}
\Gamma_{A \triangleright B} \quad X \mapsto A \times (B \rightarrow X) \\
\Gamma_{\text{sort}} 1 = \sum_{s \in S} \overbrace{(P(i) \rightarrow 1)}^1 \cong S
\end{array}$$