

# On Ramsey Theory, Category Theory and Entropy

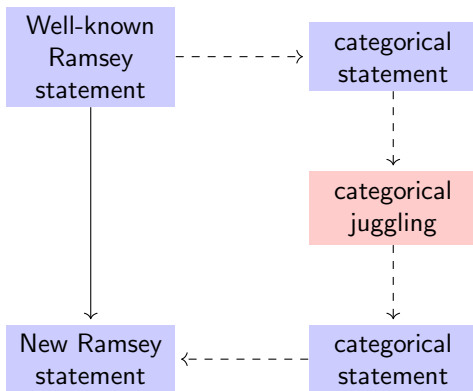
Dragan Mašulović

Department of Mathematics and Informatics  
University of Novi Sad, Serbia

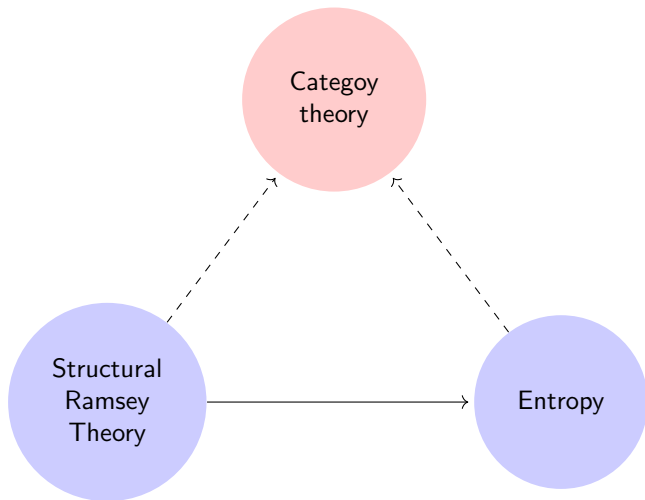
ACT 2022, Glasgow (ONLINE)

# Categorical Ramsey Theory

- ▶ Duality Principle facilitates reasoning about dual Ramsey phenomena;
- ▶ piggyback proof strategies:



# Categorical Ramsey Theory

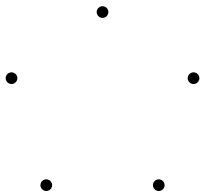


# Entropy of combinatorial structures

**Example.** How “unexpected” are the following graphs?

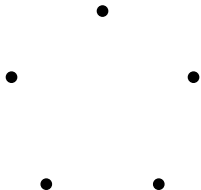
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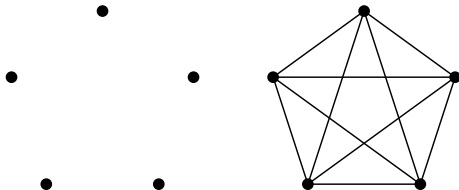
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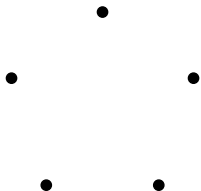
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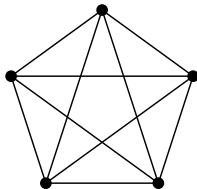
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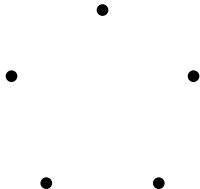


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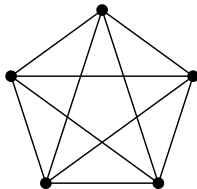


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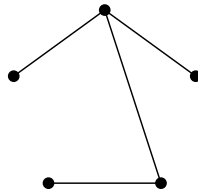
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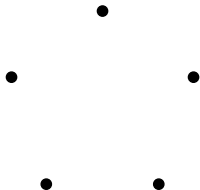
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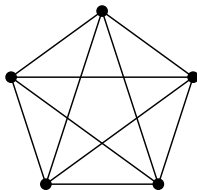
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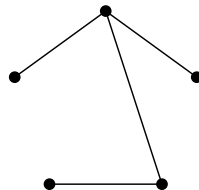
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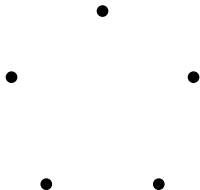


somewhat

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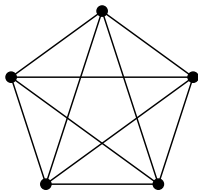
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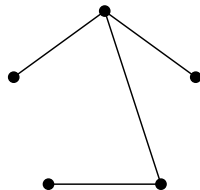
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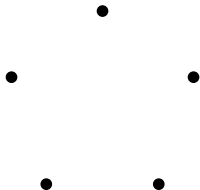
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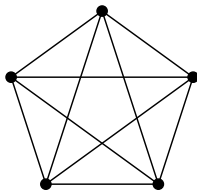
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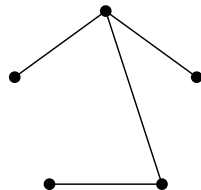
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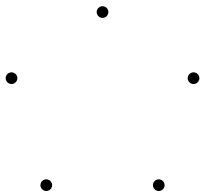


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$$|\text{Aut}(G)| = 2$$

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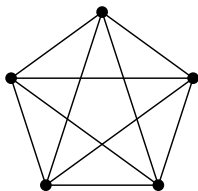
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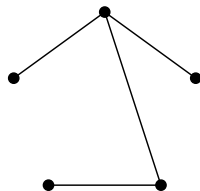
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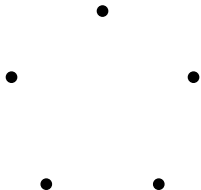


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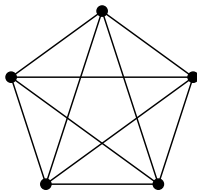
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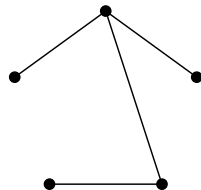
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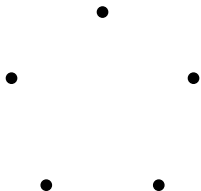


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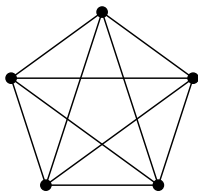
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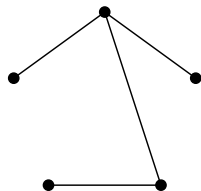
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$$|\text{Aut}(G)| = 2$$

$$\log_2 \frac{n!}{|\text{Aut}(G)|} \approx 5.91$$

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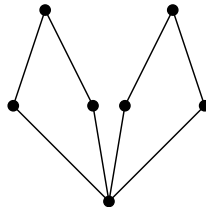
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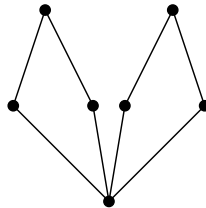
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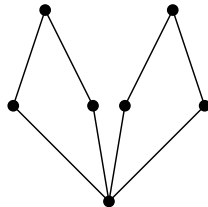


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$$|\text{Aut}(P)| = 5!$$



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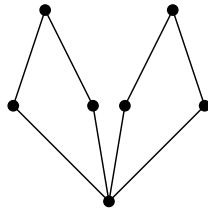
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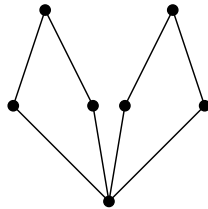
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$$|\text{Aut}(P)| = 5!$$



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somewhat

$$|\text{Aut}(P)| = 8$$

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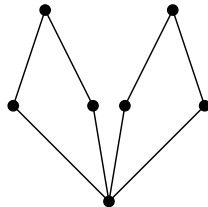
$$|\text{Aut}(P)| = 5!$$

$$\log_2 \frac{\text{linext}(P)}{|\text{Aut}(P)|} = 0$$



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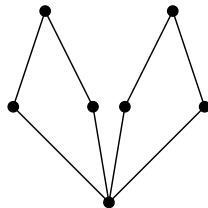
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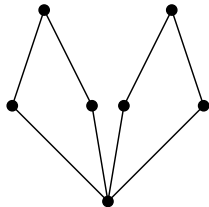
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$$|\text{Aut}(P)| = 8$$

$$\log_2 \frac{\text{linext}(P)}{|\text{Aut}(P)|} \approx 3.32$$

# Entropy of combinatorial structures

**NB.**

▶  $\frac{n!}{|\text{Aut}(G)|}$  = small structural Ramsey degree of a graph  $G$

▶  $\frac{\text{linext}(P)}{|\text{Aut}(P)|}$  = small structural Ramsey degree of a poset  $P$

# Entropy of combinatorial structures

**NB.**

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$$H(A) = \log_2 \tilde{t}(A)$$

# Entropy of combinatorial structures

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$$H(A) = \log_2 \tilde{t}(A)$$

**ALAS, NO!**

**X**  $A \preceq B \Rightarrow H(A) \leq H(B)$  for some “natural” ordering  $\preceq$ ;

# Expectations

- ▶  $H(A) \leq \log \delta(A)$ , where  $\delta(A)$  measures “diversity”;
- ▶  $A \cong B \Rightarrow H(A) = H(B)$ ;
- ▶  $H(A) = 0$  iff  $A$  is “simple”;
- ▶  $A \preceq B \Rightarrow H(A) \leq H(B)$  for some “natural” ordering  $\preceq$ ;
- ▶  $H(A, B) = H(A) + H(B)$ .



# Structural Ramsey Theory

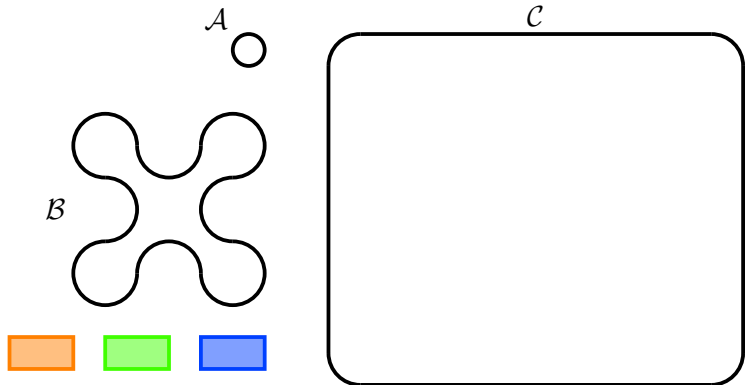
Deep structural property developed in the 1970's by Erdős, Graham, Leeb, Rothschild, Rödl, Nešetřil and many more.

## **Definition.**

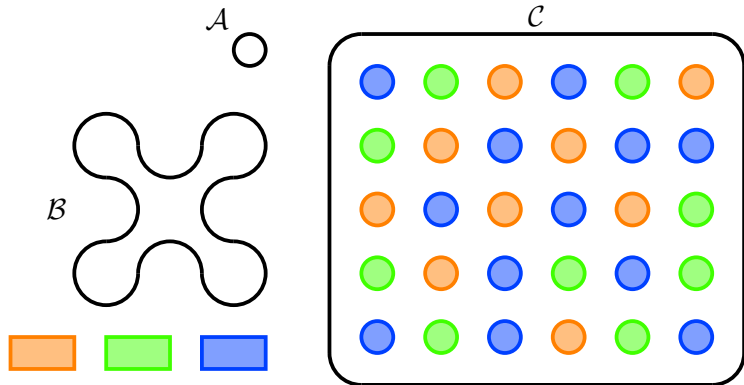
A class  $\mathbf{K}$  of finite structures has the **Ramsey property** if:

for all  $\mathcal{A}, \mathcal{B} \in \mathbf{K}$  such that  $\mathcal{A} \hookrightarrow \mathcal{B}$  and all  $k \geq 2$  there is a  $\mathcal{C} \in \mathbf{K}$  such that  $\mathcal{C} \longrightarrow (\mathcal{B})_k^{\mathcal{A}}$ .

$$C \longrightarrow (B)_k^A$$

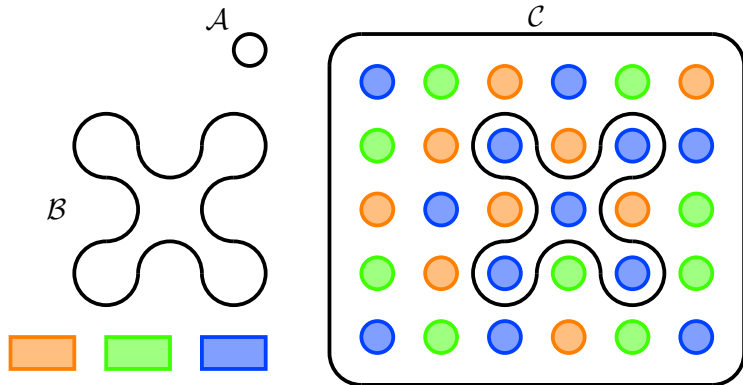


$$C \longrightarrow (B)_k^A$$



for every coloring  $\chi : \binom{C}{A} \rightarrow k$

$$C \longrightarrow (\mathcal{B})_k^A$$



there is a  $\tilde{\mathcal{B}} \in \binom{C}{B}$  such that  $\left| \chi \left( \binom{\tilde{\mathcal{B}}}{A} \right) \right| = 1$ .

# Structural Ramsey Theory

**SURPRISE! NO combinatorially interesting class of finite structures has the Ramsey property!**

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Two approaches to rectify the injustice:

- 1 early 1970's: add more structure  
     $\rightsquigarrow$  *precompact Ramsey expansions*, Nguyen Van Thé 2013
- 2 late 1990's: relax the Ramsey property  
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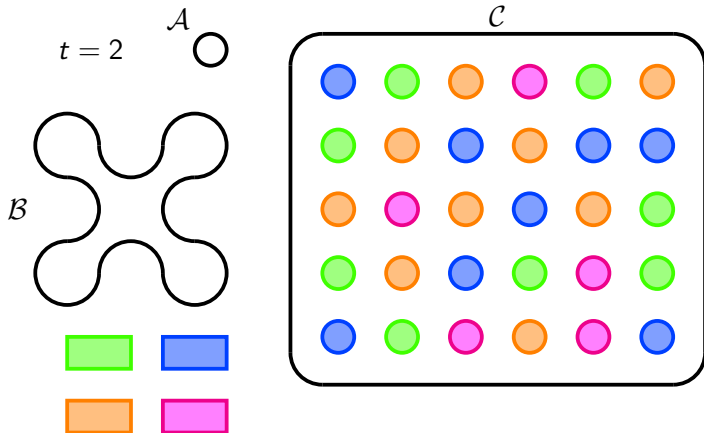
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2016 Andy Zucker: the two approaches are equivalent!

- ▶ An amalgamation class of finite structures has finite Ramsey degrees **iff** it has a precompact Ramsey expansion.

# Structural Ramsey Theory

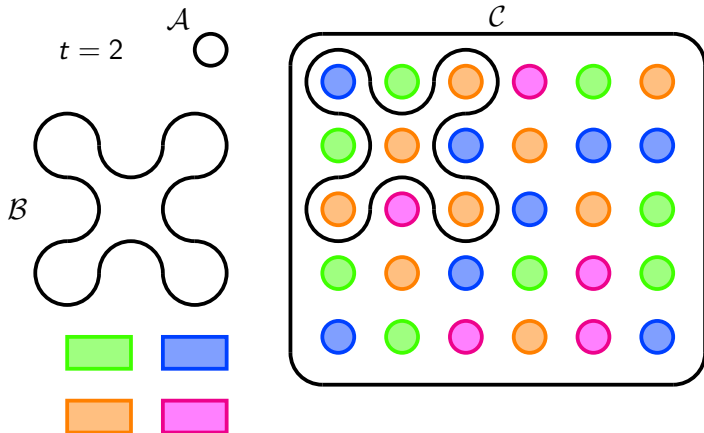
Relaxing the property.





# Structural Ramsey Theory

Relaxing the property.



# Structural Ramsey Theory

## Examples.

- 1 [Fouché 1997/8] Finite simple graphs:  $\tilde{t}(G) = \frac{n!}{|\text{Aut}(G)|}$
- 2 [Fouché 1997/8] Finite posets:  $\tilde{t}(P) = \frac{\text{linext}(P)}{|\text{Aut}(P)|}$
- 3 [KPT 2005] Finite equiv relations:  $\tilde{t}(\rho) = \frac{\text{convlo}(\rho)}{|\text{Aut}(\rho)|}$

# Categorification

$\mathbb{C}$  ... a category

$f \sim_A g \dots f = g \cdot \alpha$  for some  $\alpha \in \text{Aut}(A)$

$\binom{B}{A} \dots = \text{hom}(A, B) / \sim_A$

- ▶  $C \xrightarrow{\sim} (B)_{k,t}^A$ : for every coloring  $\chi : \binom{C}{A} \rightarrow k$  there is a  $w \in \text{hom}(B, C)$  such that  $|\chi(w \cdot \binom{B}{A})| \leq t$ .
- ▶ **Small Ramsey degrees**:  $\tilde{t}(A) =$  minimal  $t$  such that for all  $k$  and  $B$  there is a  $C$  with  $C \xrightarrow{\sim} (B)_{k,t}^A$ ; or  $\infty$  if no such  $t$  exists;
- ▶  $\mathbb{C}$  has the **Ramsey property**:  $\tilde{t}(A) = 1$  for every  $A \in \text{Ob}(\mathbb{C})$ .

# Ramsey degrees via essential partitions

$\mathbb{C}$  ... locally small category whose morphisms are mono

$A, B$  ... objects of  $\mathbb{C}$  such that  $A \rightarrow B$

**Definition.**  $\Lambda \in \text{Part}\binom{B}{A}$  is *essential* if there is a  $C \in \text{Ob}(\mathbb{C})$  such that  $B \rightarrow C$  and for every partition  $\Pi \in \text{Part}\binom{C}{A}$  there is a  $w \in \text{hom}(B, C)$  such that  $\Lambda \succcurlyeq \ell_w^{-1}(\Pi)$ .

$\text{Ess}\binom{B}{A}$  ... all essential partitions of  $\binom{B}{A}$

**Lemma.**  $\tilde{t}(A) = \sup_{B:A \rightarrow B} \min_{\Lambda \in \text{Ess}\binom{B}{A}} |\Lambda|$ .

# Entropies on partitions

$X$  ... a nonempty finite set

$\text{Part}(X)$  ... lattice of partitions on  $X$

$H_X : \text{Part}(X) \rightarrow \mathbb{R} \cup \{\infty\}$

- ▶  $H_X(\Pi) \leq \log |\Pi|$ ;
- ▶ if  $\Pi \cong \Lambda$  then  $H_X(\Pi) = H_Y(\Lambda)$ ;
- ▶  $H_X(\Pi) = 0$  iff  $\Pi = \{X\}$
- ▶ if  $\Sigma \preceq \Pi$  then  $H_X(\Sigma) \leq H_X(\Pi)$ ;
- ▶  $H_{X \times Y}(\Pi \times \Lambda) = H_X(\Pi) + H_Y(\Lambda)$ .

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## Examples.

- 1 Boltzmann entropy:

$$H_X^{\text{Bol}}(\Pi) = \log |\Pi|$$

- 2 Shannon entropy (where  $p(\beta) = |\beta|/|X|$ ):

$$H_X^{\text{Sha}}(\Pi) = - \sum_{\beta \in \Pi} p(\beta) \log p(\beta)$$

# Ramsey entropy

$\mathbb{C}$  ... a small cat whose mor's are mono and homsets finite

$H$  ... any entropy on partitions

**Definition.** Ramsey entropy based on  $H$  is  $\tilde{r} : \text{Ob}(\mathbb{C}) \rightarrow \mathbb{R} \cup \{\infty\}$  defined by:

$$\tilde{r}(X) = \inf_{A: X \rightarrow A} \sup_{B: A \rightarrow B} \min_{\Lambda \in \text{Ess} \binom{B}{A}} H(\Lambda).$$

If  $H = H^{\text{Bol}}$  we refer to  $\tilde{r}$  as the Ramsey-Boltzmann entropy

**NB.**  $\tilde{r}$  can be defined for any small category whose morphisms are mono and homsets are finite!

# Ramsey entropy

$\mathbb{C}$  ... a small cat whose mor's are mono and homsets finite

$H$  ... any entropy on partitions

**Theorem.** If  $\mathbb{C}$  has finite Ramsey degrees then  $\mathbb{C}$  admits a Ramsey entropy based on  $H$  (that is,  $\tilde{r}(A) < \infty$  for all  $A \in \text{Ob}(\mathbb{C})$ ).



# Ramsey entropy

$\mathbb{C}$  ... a small cat whose mor's are mono and homsets finite

$H$  ... any entropy on partitions

## Theorem.

- ▶  $\tilde{r}(A) \leq \log \tilde{t}(A)$
- ▶  $A \cong B \Rightarrow \tilde{r}(A) = \tilde{r}(B)$ ;
- ▶ if  $A$  is a subobject of a Ramsey object then  $\tilde{r}(A) = 0$ ;
- ▶  $A \rightarrow B \Rightarrow \tilde{r}(A) \leq \tilde{r}(B)$ ;
- ▶  $\tilde{r}(A, B) \leq \tilde{r}(A) + \tilde{r}(B)$ .

# Ramsey-Boltzmann entropy

$\mathbb{C}$  ... a small cat whose mor's are mono and homsets finite

$H^{\text{Bol}}$  ... the Boltzmann entropy on partitions

**Theorem.** Ramsey-Boltzmann entropy is the maximal Ramsey entropy on a category.

**Theorem.**  $\mathbb{C}$  admits a Ramsey-Boltzmann entropy (that is,  $\tilde{r}(A) < \infty$  for all  $A \in \text{Ob}(\mathbb{C})$ ) **if and only if**  $\mathbb{C}$  has finite Ramsey degrees.

# Ramsey-Boltzmann entropy

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- ▶  $\tilde{r}(A, B) = \tilde{r}(A) + \tilde{r}(B)$ .

## Concluding remarks

- 1 Can we use this point of view to detect the extremely elusive Ramsey property using strategies of information theory?
- 2 By duality, small dual Ramsey degrees also lead to the notion of entropy; compute Ramsey-Boltzmann entropy for the category of finite probability distributions and compare with Shannon entropy.