

# HoTTLean

## Formalizing the Meta-Theory of HoTT in Lean

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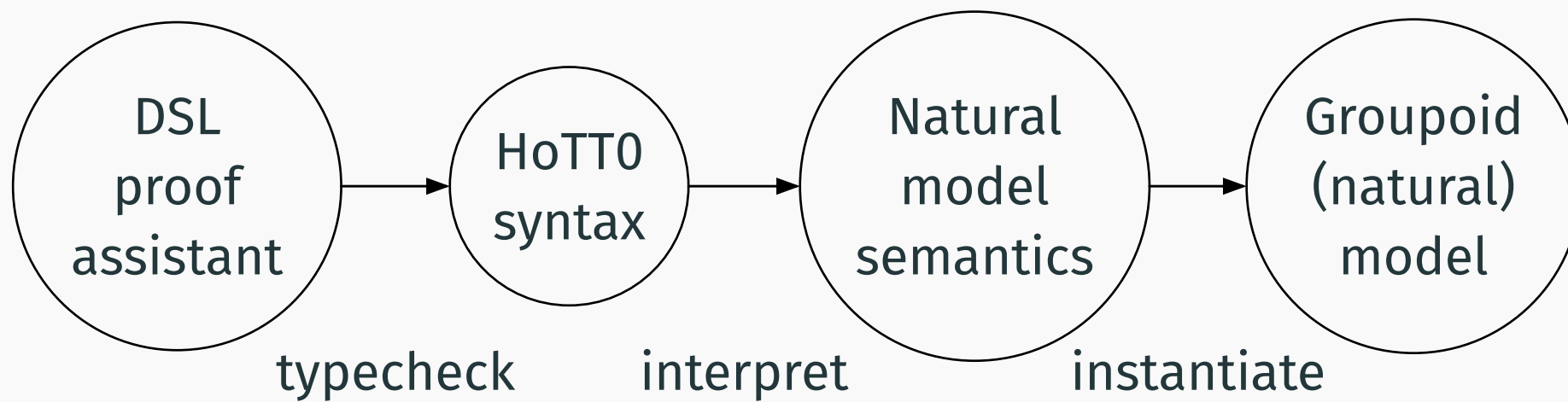
**Joseph Hua**<sup>1</sup> with Steve Awodey<sup>1</sup>, Mario Carneiro<sup>2</sup>, Sina Hazratpour<sup>3</sup>, Wojciech Nawrocki<sup>1</sup>, Spencer Woolfson<sup>1</sup>, and Yiming Xu<sup>4</sup>

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# Project overview



# Project aims

- Develop technology for **domain-specific languages (DSLs)** in Lean to extract mathlib-relevant proofs from **synthetic reasoning**.
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HoTT0 is a fragment of HoTT, meaning

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Noting that

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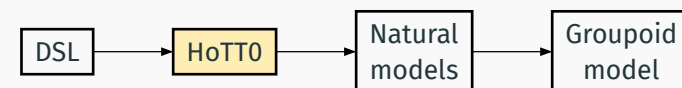
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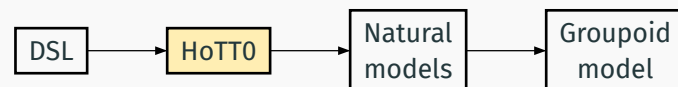
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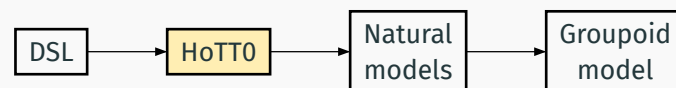
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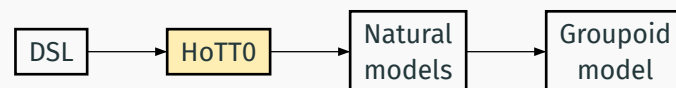
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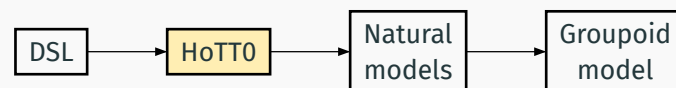
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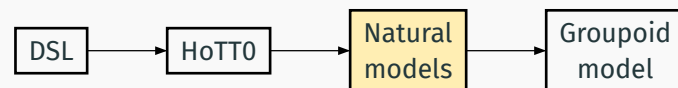
Noting that

- HoTT0 admits the **structure identity principle** for sets-level mathematics.
- Universes are not cumulative.
- Finitely many universes.



# Natural model semantics - universes

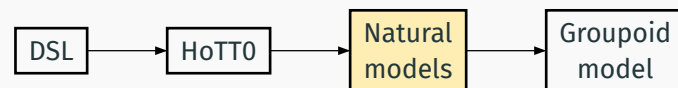
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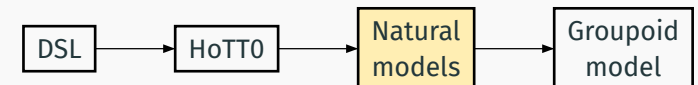




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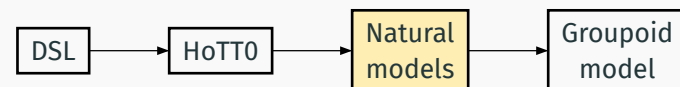
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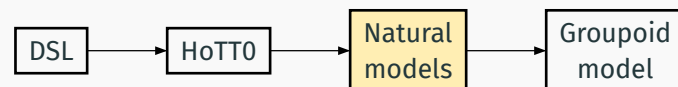
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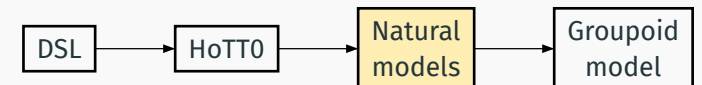
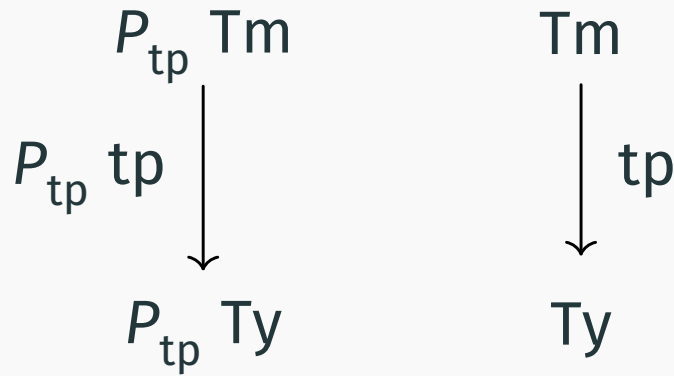
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$$\begin{array}{ccc} y[[\Gamma.A]] & \xrightarrow{\quad} & \mathsf{Tm} \\ \downarrow & \lrcorner & \downarrow \text{tp} \\ y[[\Gamma]] & \xrightarrow{\quad [[A]] \quad} & \mathsf{Ty} \end{array}$$

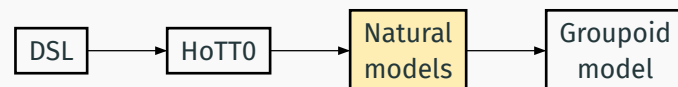


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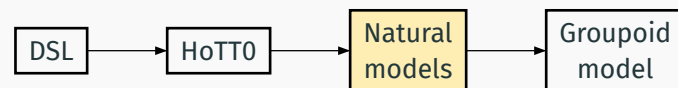
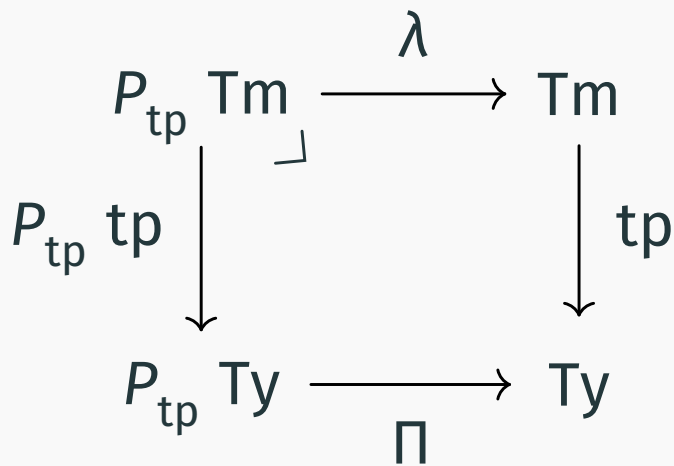


# Natural model semantics - $\Pi$ types

$$\begin{array}{ccc} P_{tp} \text{ Tm} & \xrightarrow{\lambda} & \text{Tm} \\ \downarrow P_{tp} \text{ tp} & & \downarrow \text{tp} \\ P_{tp} \text{ Ty} & \xrightarrow{\Pi} & \text{Ty} \end{array}$$



# Natural model semantics - $\Pi$ types

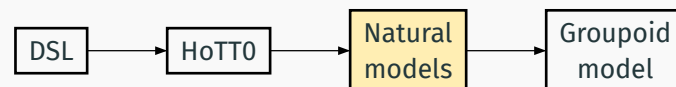


# Theorems for Mathlib

- Lean formalisation of polynomial endofunctors (a.k.a containers). See [github.com/sinhp/Poly](https://github.com/sinhp/Poly) project.

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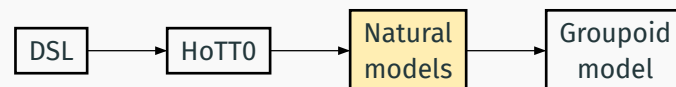


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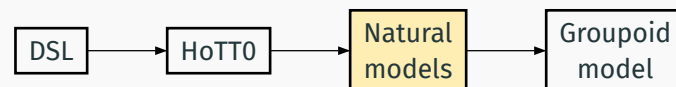


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/-- `C(Γ, PpX) ≅ Σ(b : Γ → B), C(b*p, X)` -/  
def iso_Sigma (P : UvPoly E B) :  
  P.functor »2 coyoneda (C := C) ≅ P.partProdsOver :=  
  ... ≅ ... ≅ ... ≅ ...
```

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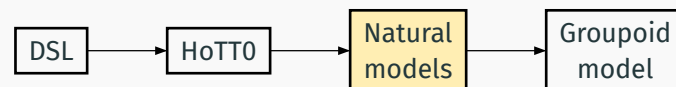
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- However this currently has significant performance issues (due to heavy `rfl` proofs).



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- Interpretation is a partial function on raw terms, that is defined on well-formed types and terms.
- We have constructed a sound interpretation of a fragment (with only  $\Sigma$  and  $\Pi$  types) into a class of natural models.
- Modular approach: we can plug in any natural model to this abstract interpretation.



# Groupoid model of HoTT0

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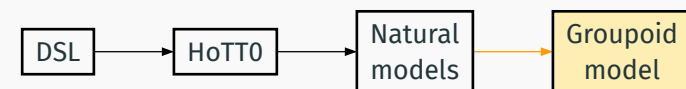
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- A type  $A : y(\Gamma) \rightarrow \text{Ty}_0$  is equivalent to a functor  $\Gamma \rightarrow \text{Grpd}$  from the “large” groupoid  $\Gamma$  into the category of “small” groupoids.





# Necessary evil

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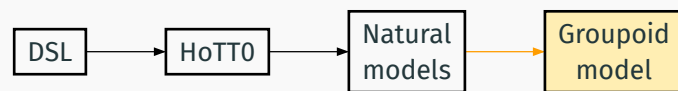
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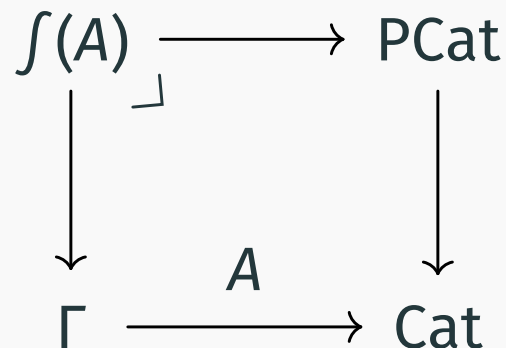
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- Proven Grothendieck construction  $\int(A)$  is a strict pullback of PCat.  
Developed API for pullbacks of categories.
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$$\begin{array}{ccc} \int(A) & \longrightarrow & \mathbf{PCat} \\ \downarrow & \lrcorner & \downarrow \\ \Gamma & \xrightarrow{A} & \mathbf{Cat} \end{array}$$

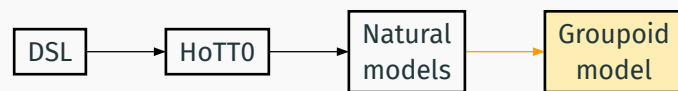


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- Note: Mathlib definitions are not general enough: categories in the pullback square are **not in the same category** due to universe levels.



$\Gamma : \text{Type } u$   
 $\mathsf{Cat} : \text{Type } (u+1)$



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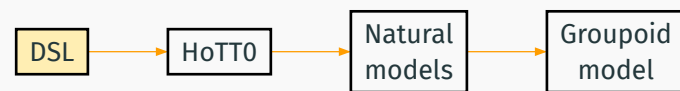
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- Towards a tactic for rewriting along Lean’s heterogeneous equality `HEq`.





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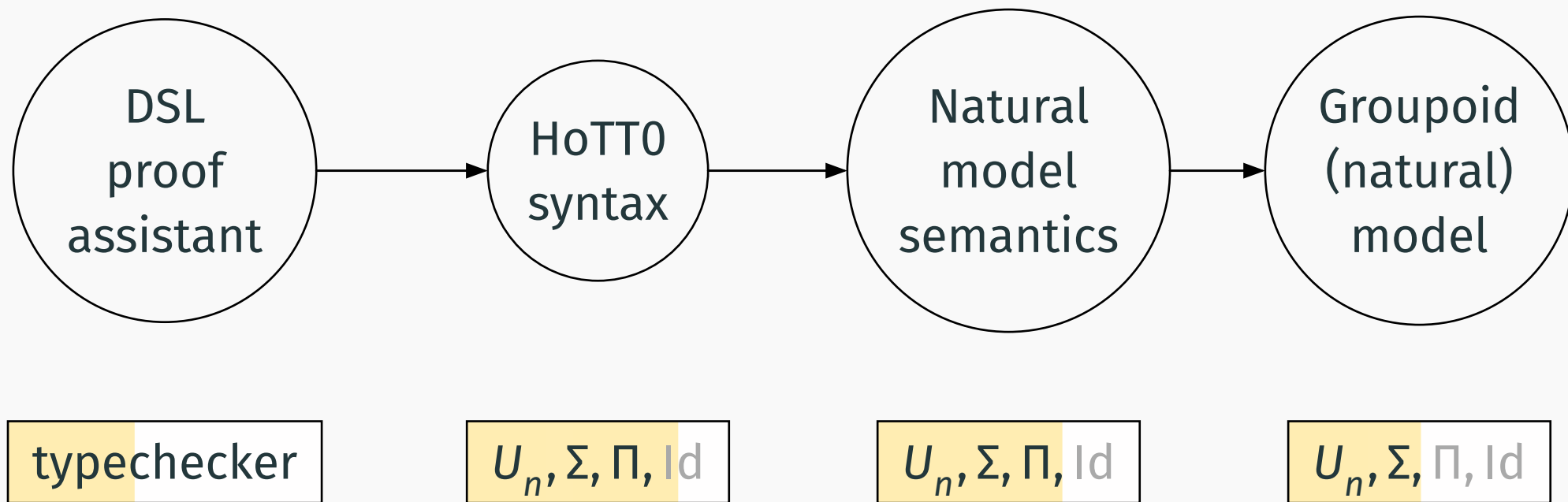
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-- type interpreted in groupoid model
noncomputable def GroupoidModel.idfun.interpType :
   $\tau$ _ _  $\rightarrow$  GroupoidModel.Ctx.ofCategory.{1,4} Grpd.{1,1} :=
  (uHomSeqPis.interpType ...
   idfun.checked.wf.wf_tp ... uHomSeqPis.nilCObj ...).app (.op  $\triangleleft$   $\tau$ _ _)
  (1 _)
```



# Project progress



+ Set Univalence + Function Extensionality

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LMU student thesis project.

# Bibliography

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